

## Dosimetric Comparison of Doses to Organs at Risk Using 3-D Conformal Radiotherapy *versus* Intensity Modulated Radiotherapy in Postoperative Radiotherapy of Periampullary Cancers: Implications for Radiation Dose Escalation

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### ABSTRACT

**Context** Postoperative periampullary cancers with high risk features are managed with adjuvant chemo radiotherapy. Doses of 40-50 Gy have generally been used in conventional radiotherapy. Dose escalation with conventional radiotherapy has been restricted due to surrounding critical organs. **Objective** The objective of this dosimetric analysis was to evaluate the dose of radiation received by organs at risk using 3D conformal radiotherapy (3DCRT) and intensity modulated radiotherapy (IMRT). **Methods** Ten postoperative patients of periampullary cancers were selected for this dosimetric analysis. Planning CT scans films were taken with slice thickness of 2.5 mm and transferred to Eclipse™ treatment planning system. The clinical target volume (CTV) included the postoperative tumor bed and draining lymph nodal areas. A 1 cm margin was taken around the CTV to generate the planning target volume (PTV). Critical structures contoured for evaluation included bowel bag, bilateral kidneys, liver, stomach and spinal cord. IMRT plans were generated using seven field coplanar beams and 3DCRT planning was done using one anterior and two lateral fields. A dose of 45 Gy in 25 fractions was prescribed to the PTV. **Results** V45 for bowel bag was 212.3±159.0 cc (mean volume ± standard deviation) *versus* 80.9±57.4 cc in 3DCRT *versus* IMRT (P=0.033). The V28 dose analysis for bilateral kidneys showed a value of 32.7±23.5 cc (mean volume ± standard deviation) *versus* 7.9±7.4 cc for 3DCRT *versus* IMRT, respectively (P=0.013). The D60 for liver using 3DCRT and IMRT was 28.4±8.6 Gy (mean dose ± standard deviation) and 19.9±3.2 Gy, respectively (P=0.020). **Conclusions** Doses to bowel bag, liver and kidneys was significantly reduced using IMRT leaving ample scope for dose escalation.

### INTRODUCTION

Periampullary cancers include tumors arising from ampullary, pancreatic, bile duct and duodenal regions. These tumors lie within 1 cm of the ampulla of Vater [1, 2]. The exact incidence of these tumors is not well documented as they are clubbed with pancreatic cancers for treatment. The incidence and mortality of pancreatic cancers is better documented with 35,240 deaths reported in the United States in 2009. Pancreatic cancer is one of the sites where survival has not

improved in last 30 years [3]. The prognosis of periampullary cancers though better than pancreatic body cancers, still remains poor. Majority of periampullary cancers patients present with operable tumors. Treatment involves Whipples surgery followed by adjuvant radiotherapy and chemotherapy. Postoperative radiotherapy is particularly useful in managing high risk patients (tumors involving the pancreas, poorly differentiated histology, involved lymph nodes and positive margins). Postoperative radiotherapy doses of 40-50 Gy using conventional radiotherapy have been used in treatment of these patients [4]. The common cause of treatment failure in these patients is recurrence in the tumor bed, regional lymph nodes and liver metastasis. A treatment approach aiming to increase the local control rate, by escalating radiation dose is likely to decrease locoregional failures and translate into a better survival. Few recent trials are now focusing on dose escalation using conformal radiation techniques [5, 6]. The purpose of the present study was to do a dosimetric analysis of the doses received by organs at

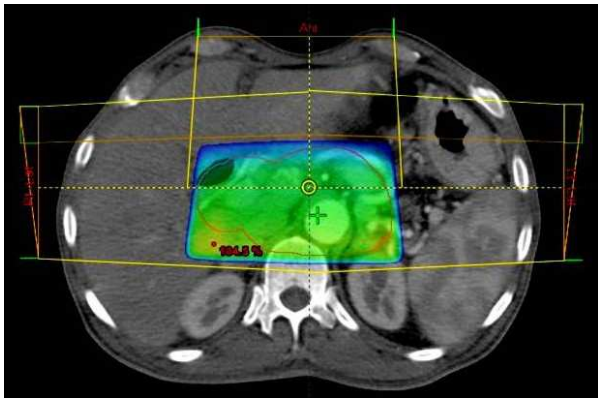
Received September 11<sup>th</sup>, 2012 – Accepted October 15<sup>th</sup>, 2012

**Key words** Pancreatic Neoplasms; Radiotherapy; Radiotherapy, Conformal; Radiotherapy, Intensity-Modulated

**Abbreviations** 3DCRT: 3D conformal radiotherapy; CTV: clinical target volume; DVH: dose volume histogram; intensity modulated radiotherapy; PTV: planning target volume

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**Figure 1.** Three field 3D conformal radiotherapy (3DCRT) for treating periampullary cancers.

risk in postoperative radiotherapy using a dose of 45Gy in 25 fractions and to assess the feasibility of radiation dose escalation.

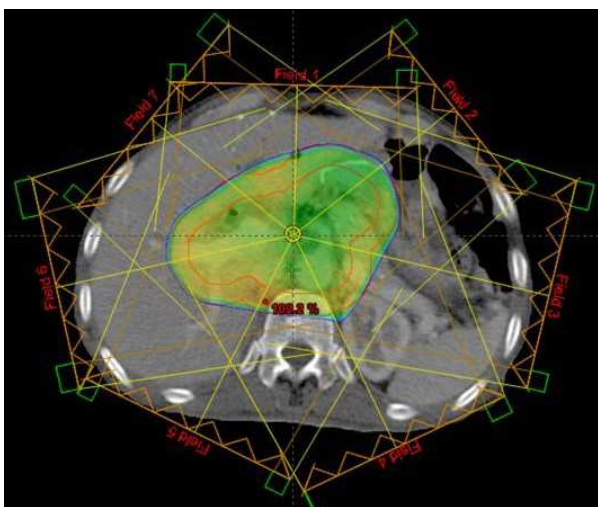
## METHODS

### Study Design and Setting

This dosimetric analysis was carried out at the Department of Radiotherapy at Post Graduate Institute of Medical Education and Research, Chandigarh, India, which is a multispecialty tertiary care referral center.

### Radiotherapy Planning

Ten postoperative patients of periampullary cancers were selected for this dosimetric analysis comparing doses to organs at risk using 3D conformal radiotherapy (3DCRT) *versus* intensity modulated radiotherapy (IMRT). All patients had undergone Whipple's surgery. Planning CT scans films were taken for the patients with proper immobilization using a multislice CT scanner with slice thickness of 2.5 mm using a multislice CT scanner (GE Healthcare Technologies, Wankesha, WI, USA). The images were transferred to Eclipse™ treatment planning system (v.8.6, Varian Associates, Palo Alto, CA, USA).



**Figure 2.** Intensity modulated radiotherapy (IMRT) fields for periampullary cancers.

Contouring for treatment volumes was done as per published Radiotherapy and Oncology Group (RTOG) guidelines [7]. The clinical target volume (CTV) included the postoperative tumor bed and draining lymph nodal areas. A 1 cm margin was taken around the CTV to generate the planning target volume (PTV). Critical structures contoured for evaluation included bowel bag, bilateral kidneys, liver, stomach and spinal cord. Two sets of IMRT and 3DCRT plans were generated for each patient. IMRT plans were generated using seven field coplanar beams and 3DCRT planning was done using one anterior and two lateral fields (Figures 1 and 2). A dose of 45 Gy in 25 fractions was prescribed to the planning target volume in both treatment groups. Six MV photons were used for all treatment planning. The plans were optimized to deliver 45 Gy in 25 fractions to the PTV and the optimization was constrained to deliver the prescription dose to greater than 95% of the PTV. Dose volume histograms were generated for all the organs at risk. The dose constraints used for IMRT treatment planning are listed in Table 1. Dosimetric evaluation of doses to organs at risk was done using quantitative analysis of normal tissue effects in clinics (QUANTEC) parameters [8].

## ETHICS

Oral informed consent was obtained from patients for this dosimetric analysis and study conforms to the ethical guidelines of the "World Medical Association (WMA) Declaration of Helsinki - Ethical Principles for Medical Research Involving Human Subjects" adopted by the 18<sup>th</sup> WMA General Assembly, Helsinki, Finland, June 1964 and amended by the 59<sup>th</sup> WMA General Assembly, Seoul, South Korea, October 2008. The IRB approval was not collected because this study was a dosimetric analysis only and it did not involve any actual patient treatments under the study.

## STATISTICS

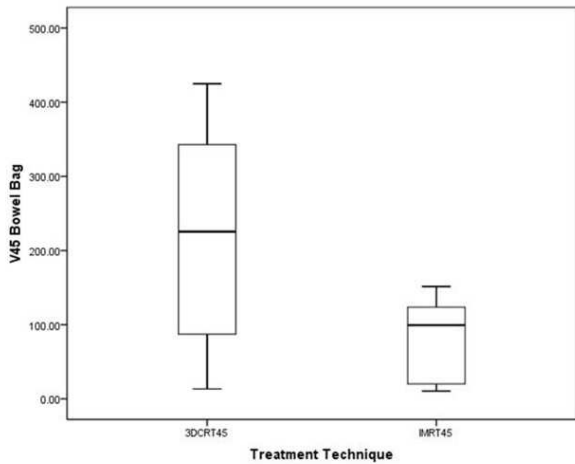
For statistical analysis the data was arranged in Statistical Program for Social Sciences (SPSS version 18). Descriptive data analysis was conducted for the dosimetric data. Summary statistics including mean, standard deviation and range were obtained in both techniques. A paired t-test was used to compare the average doses between the study groups. A two-tailed P value of less 0.05 was considered significant.

## RESULTS

Dose to the bowel bag was less using IMRT *versus* 3DCRT with a V45 of 80.9±57.4 cc *versus*

**Table 1.** Dose constraints for intensity modulated radiotherapy (IMRT) planning in postoperative periampullary cancer patients.

Organ at risk	Dose constraint
Bilateral kidneys	Mean dose less than 18 Gy
Bowel bag	V45 less than 145 cc
Liver	Mean dose less than 32 Gy
Spinal cord	Dmax less than 45 Gy



**Figure 3.** Box plot showing V45 for bowel bag using 3D conformal radiotherapy (3DCRT) versus intensity modulated radiotherapy (IMRT) (P=0.033).

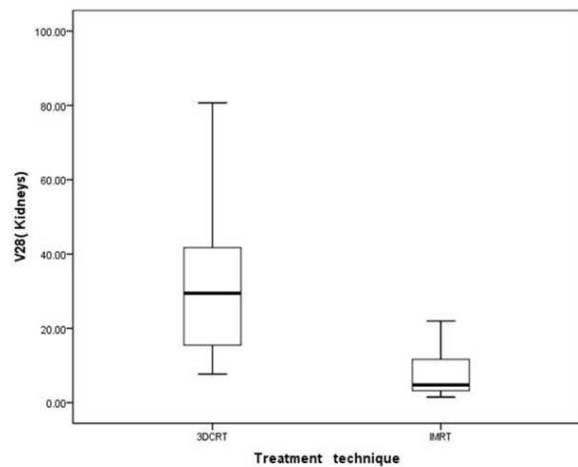
212.3±159.0 cc (P=0.033) (Figure 3). The mean doses to the liver, stomach, spinal cord, right kidney and left kidney using 3DCRT and IMRT are shown in Table 2. The dose volume histogram (DVH) comparing the two techniques is shown in Figure 4. The V28 dose analysis for bilateral kidneys showed a mean volume of 32.7±23.5 cc versus 7.9±7.4 cc for 3DCRT versus IMRT (P=0.013) (Figure 5). The V20 for bilateral kidneys showed a mean volume of 45.9±27.7 cc versus 42.4±32.5 cc for 3DCRT versus IMRT, respectively (P=0.821). The D60 for liver using 3DCRT and IMRT was 28.4±8.6 Gy and 19.9±3.2 Gy, respectively (P=0.020) (Figure 6). The V30 analysis for liver showed a mean volume of 592.5±218.6 cc versus 338.0±139.7 cc for 3DCRT versus IMRT, respectively (P=0.015) (Figure 7).

**Table 2.** Mean (±SD) doses received by organs at risk using intensity modulated radiotherapy (IMRT) versus 3D conformal radiotherapy (3DCRT).

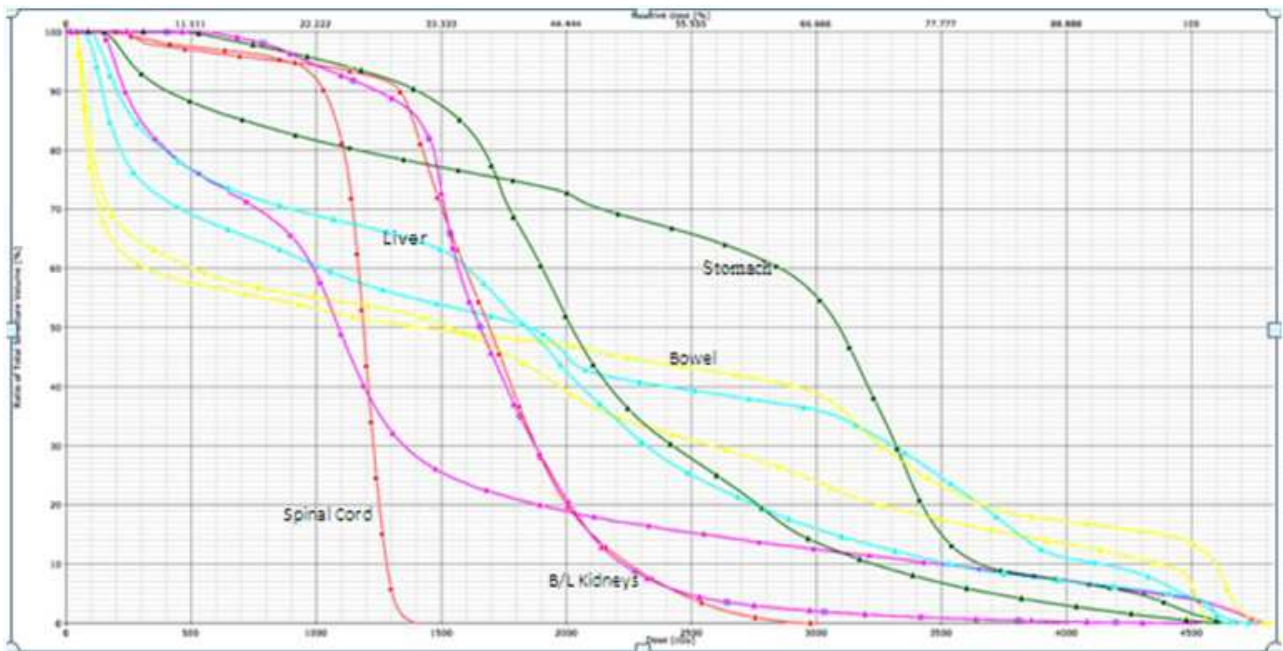
Organ at risk	Dose (Gy)		P value
	IMRT	3DCRT	
Liver	22.9±3.1	24.6±3.9	0.329
Stomach	20.7±5.8	21.9±6.7	0.694
Spinal cord	24.8±7.9	26.5±14.7	0.760
Kidney (right)	11.3±4.1	14.1±3.9	0.190
Kidney (left)	13.7±2.4	13.7±3.8	0.995

**DISCUSSION**

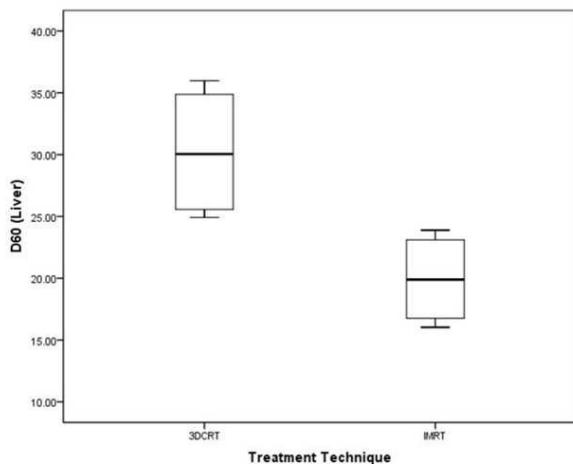
A review of the pattern of recurrences in periampullary and pancreatic cancers show that local recurrences contribute significantly to treatment failures. Tepper *et al.* reported a 50% local recurrence rate in operated



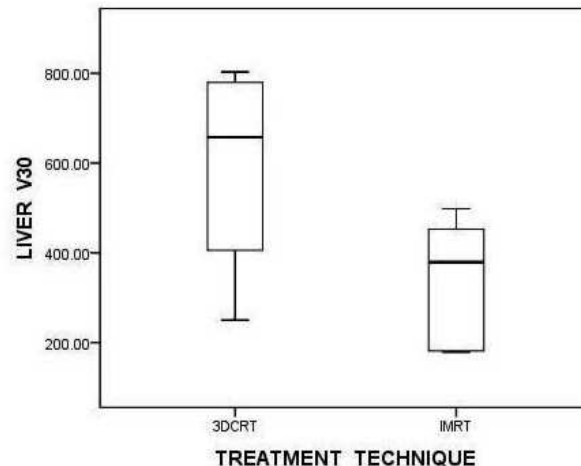
**Figure 5.** Box plot showing V28 bilateral kidneys using 3D conformal radiotherapy (3DCRT) versus intensity modulated radiotherapy (IMRT) (P=0.013).



**Figure 4.** Dose volume histogram (DVH) comparing doses to organs at risk between intensity modulated radiotherapy (IMRT) and 3D conformal radiotherapy (3DCRT). (Squares represent 3DCRT and triangles represent IMRT).



**Figure 6.** Box plot showing D60 liver using 3D conformal radiotherapy (3DCRT) versus intensity modulated radiotherapy (IMRT) (P=0.020).



**Figure 7.** Box plot showing V30 liver using 3D conformal radiotherapy (3DCRT) versus intensity modulated radiotherapy (IMRT) (P=0.015).

pancreatic cancers [9]. In a retrospective analysis of 118 patients with carcinoma of the ampulla of Vater Kim *et al.* reported a 17% overall locoregional failure rate using a radiation dose of 40 Gy in 2 Gy fractions with a planned treatment break. They showed that adjuvant chemoradiation may enhance locoregional control and overall survival after curative resection, especially in those with nodal involvement [10]. Kayahara M *et al.* reported a postoperative local recurrence rate of 80% and lymph nodal recurrence of 47% in 45 patients of head of pancreas cancer undergoing surgery [11]. Yovinio *et al.* showed a locoregional failure rate of 19% in resected pancreatic cancers using IMRT with a median dose of 50.4 Gy (range: 50.4-59.4 Gy) [8]. Higher T stage (T3, T4) is an adverse prognostic factor in ampullary cancers and adjuvant chemoradiotherapy has shown benefit in overall survival in these patients (median survival 35.2 versus 16.5 months, P=0.06) [5]. Postoperative high risk features for periampullary cancers includes high tumor grade, positive nodes, positive margins and pancreatic invasion. These patients benefit from adjuvant therapy and have better 5-year survival rate compared to surgery alone (83% versus 50%) [12].

The presence of surrounding organs at risk, like bowel, liver, kidneys, stomach and spinal cord, limits the delivery of radiation doses to the postoperative tumor bed. Radiation doses of 40 to 50 Gy have been used in conventional radiotherapy [12]. With improved radiotherapy delivery techniques, like intensity modulated radiotherapy (IMRT), the doses to surrounding organs at risk can be controlled and radiation doses escalated in the tumor bed and nodal areas. IMRT has been successfully implemented in other gastrointestinal tract malignancies with encouraging results reported in anal canal cancer and esophageal cancers [13, 14].

There are a few reports of use of IMRT in pancreatic and ampullary cancers with doses up to 60 Gy which have shown significant decrease in doses received by

small bowel [7, 15]. Brown *et al.* evaluated integrated boost IMRT with dose escalation up to 64.8 Gy with a superior dose distribution in organs at risk as compared to IMRT and 3DCRT [16]. Bouchard *et al.* correlated pancreatic tumor location to modality for radiation dose escalation and found that IMRT allows more conformal dose escalation in high dose region and proton therapy reduces low dose region to organs at risk [17]. Geld *et al.* found no significant additional dose reduction to organs at risk using 4D CT based respiratory gated treatment plans over IMRT plans [18].

Dose escalation is likely to impact local control and translate to an improved survival rate. The profiles of doses received by organs at risk in our analysis are well within the prescribed limits and leave ample scope of dose escalation.

## CONCLUSIONS

Conventional postoperative radiotherapy protocols have treated periampullary cancers with doses ranging from 40 to 50.4 Gy with a median dose of 40 Gy in many studies. Few recent trials have addressed the issue of dose escalation. Our results show that with a commonly dose schedule of 45 Gy in 25 fractions the dose to bowel bag is significantly reduced using IMRT compared to 3DCRT. The doses received by other organs are lower with IMRT compared to 3DCRT with significant differences in doses received in liver and kidneys. The profile of doses received by organs at risk leaves ample scope of dose escalation in postoperative patients using IMRT. We propose dose escalation up to 60 Gy in conventional fractionation for postoperative periampullary cases presenting with high risk features. Further studies will be required to evaluate long term impact of such a dose escalation.

**Conflict of interest** The authors have no potential conflict of interest

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