

## RESEARCH ARTICLE

# Assessment of Percutaneous Laparoscopic Ultrasonography-Guided Core Needle Biopsy for the Advanced Diagnosis of Unresectable Pancreatic Cancer

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### ABSTRACT

**Context** Before the initiation of cytotoxic therapy for locally unresectable pancreatic cancer, staging laparoscopy is an important diagnostic method for both the detection of occult small lesions and the extraction of a tumor sample for advanced pathological examination using core needle biopsy (CNB) under laparoscopic ultrasonography (LUS) guidance. **Objective** This study aimed to evaluate the safety and usefulness of LUS-guided CNB in pancreatic cancer. **Methods** Consecutive patients with locally unresectable pancreatic cancer who underwent staging laparoscopy were retrospectively analyzed. LUS-guided CNB was performed percutaneously under a laparoscopic view. The clinical results of the LUS-guided CNB group and the non-LUS-guided CNB group were compared. **Results** Forty-eight patients who underwent staging laparoscopy by LUS-guided CNB or endoscopic ultrasound-guided fine needle aspiration were identified. LUS-guided CNB was performed in 25 patients. The mean tumor size in the LUS-guided CNB group was significantly larger than that in the non-LUS-guided CNB group. No significant difference was observed between the two groups in operating time or bleeding volume. The rates of malignancy diagnosis and histological classification subtyping were significantly higher in the LUS-guided CNB group. Histologically differentiated adenocarcinoma was identified in 15 patients using samples acquired by LUS-guided CNB. There was no uncontrollable bleeding or other complications, and a significant difference in the occurrence of peritoneal dissemination after laparoscopic examination was observed between the two groups. **Conclusion** LUS-guided CNB enables the safe acquisition of sufficient tissue volumes for certain pathological analyses required to determine treatment strategies for locally unresectable advanced pancreatic cancer.

### INTRODUCTION

Patients with pancreatic cancer have a dismal prognosis. Pancreatic cancer is the fourth leading cause of cancer-related death [1]. The nonspecific nature of the early symptoms of pancreatic cancer may cause a delayed diagnosis. At initial presentation, only approximately 20% of patients are candidates for tumor resection.

Staging laparoscopy is applicable to locally advanced pancreatic cancer patients with no evidence of distant disease who are being considered for chemoradiotherapy. Staging laparoscopy may effectively identify occult stage IV disease that cannot be detected by imaging, and may prevent morbidity and unnecessary treatment

costs. In addition, staging laparoscopy may improve adjuvant therapy protocols by allowing better selection of treatment method [2]. Laparoscopic ultrasonography (LUS) reportedly improved the accuracy of pancreatic cancer tumor staging [3-5].

The histological analysis of a pancreatic mass is beneficial before beginning antitumor treatment. Specifically, several authors have suggested that genetic and molecular analyses using laser micro dissection of pancreatic tumors contributed to the development of tailor-made treatments [6, 7]. Endoscopic ultrasound-guided fine-needle aspiration and biopsy (EUS-FNA) is thought to be a safe, accurate, and sensitive method to obtain tissue from a pancreatic lesion [8-10]. However, there is evidence that the degree of accuracy depends greatly on the experience of the endoscopist. Unfortunately, EUS-FNA is not always useful for the recognition of pancreatic tumor malignancy or the differentiation between pancreatic cancer and chronic pancreatitis [8, 11, 12]. On the other hand, core needle biopsy (CNB) provides sufficiently large tissue samples [13]. Laparoscopic surgical techniques and LUS have made it possible to perform needle biopsies for pancreatic tumors. However, there are few reports concerning the evaluation of LUS-guided CNB. This study aimed to assess the feasibility and role of LUS-guided CNB in determining the most effective treatment strategy for locally advanced unresectable pancreatic cancer.

**Received** August 26th, 2014 – **Accepted** November 17th, 2014

**Keywords** Biopsy, Large-Core Needle; Pancreatic Neoplasms; Ultrasonography

**Abbreviations** CNB: Core needle biopsy

LUS: Laparoscopic ultrasonography

FNAB: Fine-needle aspiration biopsy

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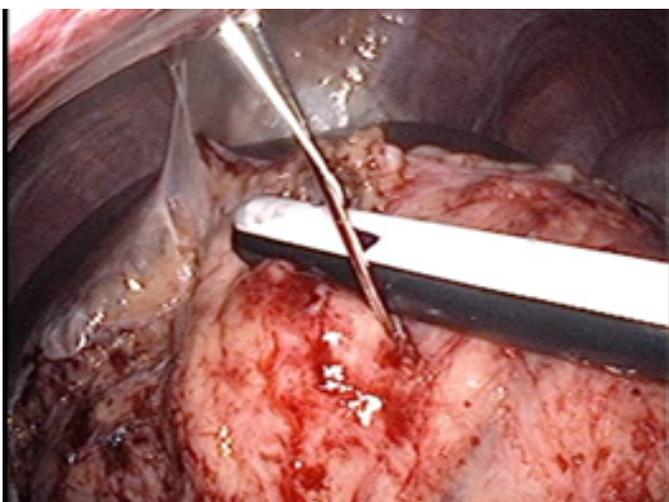
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**MATERIALS AND METHODS**

Two-hundred and sixty-five patients diagnosed with pancreatic cancer were admitted to our hospital between February 1, 2006 and December 31, 2011. Data from 60 consecutive patients who underwent staging laparoscopy were retrospectively analyzed. All patients were diagnosed with advanced, unresectable pancreatic tumors without obvious distant metastasis by multi-detector computed tomography (MD-CT) or magnetic resonance imaging (MRI) and positron emission tomography-computed tomography (PET-CT). The criteria for the diagnosis of a locally advanced, unresectable tumor were tumor invasion into the superior mesenteric artery, celiac artery, common hepatic artery, aorta, inferior vena cava, or bifurcation of the superior mesenteric vein. These patients were nominated for the candidate of chemotherapy or chemoradiotherapy.

Staging laparoscopy was required to identify small metastatic lesions for the determination of a suitable therapeutic strategy; namely, systemic chemotherapy or chemo radiotherapy. A standard approach for laparoscopic surgery was performed through three to four ports using a multi-incision technique. LUS-guided CNB was performed to obtain tissue samples for a pathological diagnosis. LUS was performed with an LUS probe (Linear Probe UST-5550; ALOKA, Tokyo, Japan) connected to a high-end ultrasonographic scanner (ProSound SSD-5500; ALOKA, Tokyo, Japan) through a 12-mm trocar under laparoscopic imaging. Inspection of the whole liver, including the deep parenchyma, was performed to detect small nodules.

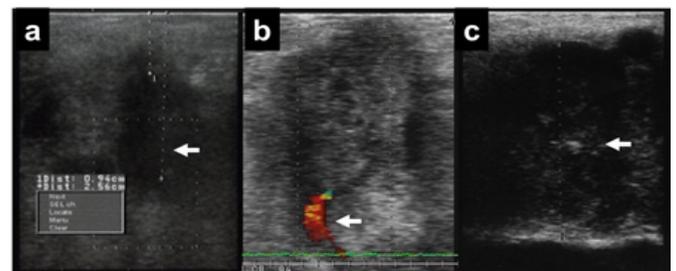
LUS-guided CNB was performed using an 18-gauge needle that was introduced through the right anterior abdominal wall above the pancreatic tumor (Figure 1). Tumors with cystic components were excluded to avoid the risk of peritoneal dissemination resulting from needle puncture. Before the CNB, we dissected a part of the omentum and opened the omental bursa using two laparoscopic dissectors through 5-mm working ports to observe the distal pancreas and directly puncture the tumors. All punctures were performed several times under LUS from a laparoscopic view. Ultrasonographic procedures consisted



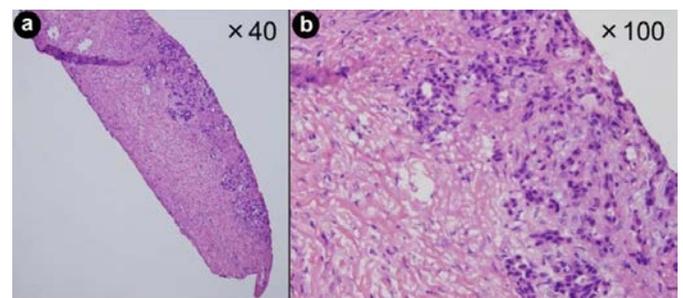
**Figure 1.** Image of Laparoscopic ultrasonography (LUS)-guided core needle biopsy (CNB).

of the following three steps: (1) measurement of tumor location and size, (2) a search for critical vessels around the tumor using Doppler mode, and (3) a confirmation of safe puncture sites in the tumor (Figure 2). If critical vessels crossed the puncture line, we aborted the biopsy and later switched to EUS-FNA. The obtained tissue samples were used for frozen sections and the final pathological diagnosis (Figure 3). Immediate LUS-guided CNB-related complications were recorded during the procedure, and patients were monitored for late complications (>24 h postoperatively) before discharge. Umbilical open wounds were sutured with absorbable sutures.

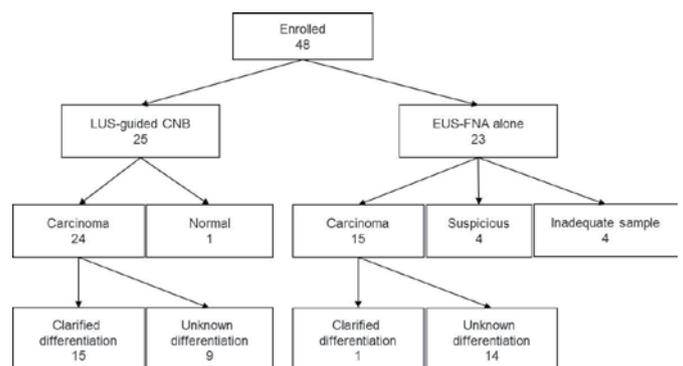
As six patients were found peritoneal dissemination during the examination, we acquired the tissue from disseminated lesion and did not perform tumor puncture. Two patients who were performed EUS-FNA at another hospital before admissions were excluded. As LUS-guided CNB was aborted in four patients to avoid critical vessel injury, a total of 25



**Figure 2.** Laparoscopic ultrasonographic images during the puncture of the pancreatic tumor. (a) The dotted line indicates the pre-puncture path through the tumor. (b) The identification of critical vessels using color Doppler imaging around the tumor. (c) The white arrow indicates the needle puncturing the tumor.



**Figure 3.** Pathological images of a core needle biopsy (CNB) sample of pancreatic adenocarcinoma with hematoxylin-eosin staining. a. A stick of tissue was collected using a Tru-Cut needle. b. Not only the cancer nest, but also the neighboring connective tissue was gathered without destruction of the tissue structure.



**Figure 4.** The pathological diagnosis results of each sample acquired by LUS-guided CNB or EUS-FNA.

patients underwent CNB. Another 23 patients successively underwent EUS-FNA alone for a pathological diagnosis in the division of endoscopy at our hospital before staging laparoscopy. As twelve patients received neither LUS-guided CNB nor EUS-FNA in our hospital, 48 patients were enrolled during the study period finally. Informed consent was obtained from all patients. As these patients were not divided into the two groups prospectively, this study is not a randomized control study.

The outcomes of operating time, bleeding volume, histological diagnosis status, incidence of postoperative complications, and incidence of peritoneal dissemination following the examination were evaluated between the two groups. All patients started the anticancer treatment after the examination, and were followed up at the out-patient center of our hospital. Radiographic diagnostic imaging by enhanced CT or MRI was performed to all patients every three months for detecting recurrence. Clinical stage was determined according to the *General Rules for the Study of Pancreatic Cancer* by the Japan Pancreatic Society (sixth edition).

**ETHICS AND STATISTICS**

This study was approved by the institutional review board of Kagoshima University Hospital and conformed to the provisions of the World Medical Association Declaration of Helsinki - Ethical Principles for Medical Research Involving Human Subjects.

All data are presented as the number of patients or means, and were compared by a Mann-Whitney U-test. Characteristic factors in the two groups were compared using chi-squared tests. A p-value <0.05 indicated statistical significance. All statistical analyses were performed using StatView for Windows Version 5.0 (SAS Institute, North Carolina, USA).

**RESULTS**

A comparison of the characteristic results between the LUS-guided CNB group and the non-LUS-guided CNB group is shown in Table 1. There was no significant difference in age, sex, distribution of tumor location, or clinical stage proportion. The mean tumor size in the LUS-guided CNB group was significantly larger than that in the non-LUS-guided CNB group (p < 0.05).

**Table 1.** Characteristics of the enrolled patients.

	LUS-CNB group	EUS-FNA group	p-value
No. of patients	25	23	
Mean age (range)	65.4 (46-75)	63.8 (41-83)	0.584
Male/female	18/7	13/10	0.413
Tumor location, head/body or tail	14/11	15/8	0.566
Mean tumor size (mm), ± SD	44.3 ± 17.6	33.9 ± 10.3	0.018
Clinical Stage, IVa/IVb	21/4	20/3	0.771

EUS-FNAB: endoscopic ultrasonography fine-needle aspiration biopsy; LUS-CNB: laparoscopic ultrasonography-guided core needle biopsy

A comparison of the clinical results between the two groups is shown in Table 2. The operation time was longer in the LUS-guided CNB group, although the difference was not statistically significant. A small volume of bleeding was recorded in both groups without a significant difference. The rates of malignancy diagnosis and histological classification subtyping were significantly higher in the LUS-guided CNB group. Although one patient in the LUS-guided CNB group had an abdominal abscess resulting from a pancreatic fistula at the puncture site, it resolved after conservative treatment within 2 weeks. Median time of following up period for all patients was 12.7 months. Peritoneal dissemination occurred in five patients with no peritoneal lesion at the time of laparoscopy. There was no significant difference in the occurrence of peritoneal dissemination after laparoscopic examination between the two groups.

Details of the LUS-guided CNB pathological results are shown in Figure 4. One patient with suspected carcinoma diagnosed by EUS-FNA at another hospital was diagnosed with chronic pancreatitis by LUS-guided CNB. Immunohistochemical staining using DF3 (MUC1) antibody for the tissue sample obtained by LUS-guided CNB revealed moderately differentiated tubular adenocarcinoma, although EUS-FNA failed to prove malignancy. On the other hand, EUS-FNA succeeded in providing pathological differentiation in only one patient. It was difficult to diagnose malignancy in four patients because of insufficient tissue volumes.

**DISCUSSION**

Patients with disease initially deemed to be unresectable pancreatic cancer on the basis of imaging studies should undergo biopsy to obtain a histological diagnosis if chemotherapy or chemoradiotherapy is planned. Tissue for histological analysis can be obtained percutaneously or laparoscopically by various biopsy techniques such as fine-needle aspiration biopsy (FNAB) under ultrasonography, EUS, or CT guidance [14-16]. However, a limitation of FNAB is that the tissue volume obtained may be too small for an accurate diagnosis [14]. CNB under laparotomy is a more accurate method to obtain enough tissue for a histological diagnosis. Few articles have compared the advantages and shortcomings of LUS-guided CNB with those of EUS-FNA. Therefore, we compared the clinical difference between

**Table 2.** Clinical results of laparoscopic ultrasonography (LUS)-guided core needle biopsy (CNB).

	LUS-CNB group	EUS-FNA group	p-value
Mean operating time (min), ± SD	131.6 ± 28.9	107.8 ± 54.9	0.131
Mean blood loss (mL), ± SD	14.8 ± 20.5	7.2 ± 28.0	0.373
Histological diagnosis rate			
Malignancy	100%	82.6%	0.0012
Subtyping classification	15(60.0%)	1(4.3%)	< 0.0001
Postoperative complications	1 (abscess)	0	0.332
Occurrence of peritoneal dissemination	4	5	0.719

EUS-FNAB: endoscopic ultrasonography fine-needle aspiration biopsy

these two approaches for the histological diagnosis of unresectable pancreatic cancer. The direct CNB technique under LUS imaging has made it possible to safely obtain sufficient tissue for certain pathological diagnoses, including the cancer differentiation of a pancreatic mass.

To determine a treatment strategy for locally unresectable pancreatic cancer, a laparoscopic approach is desirable for tumor staging [17, 18]. Even the most advanced CT scan is inadequate for the accurate staging of locally extended pancreatic cancer because occult distant disease will be found with high frequency by laparoscopic inspection [19]. Therefore, we employed staging laparoscopy to precisely identify small metastases and select the appropriate treatment [20]. A number of studies have evaluated the additive benefit of LUS at the time of laparoscopic staging [21]. In our experience, staging laparoscopy revealed metastatic lesions in 33% of advanced pancreatic cancer patients with no obvious metastasis by preoperative imaging (data not shown). We performed a detailed LUS inspection of every patient, and LUS-guided CNB has been introduced since staging laparoscopy began.

Strasburg *et al.* reported that direct LUS-guided CNB provides a rapid and safe diagnosis of pancreatic lesions [22]. Although LUS-guided CNB has been introduced as a less invasive procedure than laparotomy, FNAB has been recently performed under the guidance of EUS without general anesthesia or surgical stress. Kilment *et al.* reported that EUS-FNA provides an accurate diagnosis in 92% of cases and has a positive therapeutic impact in two-thirds of patients with solid pancreatic masses [8]. It has been reported that the diagnostic sufficiency, technical performance, and safety profiles of 22-gauge aspiration and 22-gauge biopsy needles for EUS-guided sampling are comparable [23]. However, CNB yielded a significantly higher sensitivity than FNA in several organs, including the pancreas [24]. Despite the use of EUS-FNA, up to 7% of pancreatic cancer patients who underwent resection had benign disease in a postoperative pathologic examination [11]. Gleeson *et al.* reported that the false-positive rate was 5.3% and increased to 7.2% when false-suspicious cases were included [25]. Butturini *et al.* showed that the laparoscopic biopsy of advanced unresectable pancreatic cancer was a feasible, safe, and reliable procedure to obtain a cytohistological diagnosis whenever ultrasonography-guided FNA fails [26]. The combination of FNA and CNB sampling techniques increases diagnostic sensitivity and occasionally provides more accurate classifications of tumors and benign lesions in the diagnosis of radiologically detected abdominal lesions [27]. These studies discussed that CNB had an advantage in the preservation of the tissue architecture of the specimen, which may be important in the assessment and subtyping of some tumors, and retained the possibility of performing immunohistochemical techniques [24].

A large-scale analysis of gene expression has been widely proposed as a powerful method for the diagnosis and identification of predictive factors for the treatment of various malignant tumors [28-31]. The availability of tumor tissue is critical for an accurate assessment of gene expression, and laser microdissection and primary

cell cultures may be useful tools to separate tumor cells from stromal reactions [6]. Ashida *et al.* reported an analysis of mRNA related to gemcitabine sensitivity using a high-fidelity RNA amplification technique that allowed analysis of gene expression profiles from EUS-FNA samples of unresectable pancreatic cancer [28]. However, contamination of normal tissue with tumor tissue obtained by the EUS-FNA procedure may be a major obstacle to an accurate analysis. If we need to know only confirmation of the tumor malignancy, EUS-FNA may be enough for the diagnosis. But the sufficiently large amount of tissue obtained by CNB may help allow determination of differences in the genetic characteristics of tumor and normal tissue using advanced tissue extraction techniques such as laser microdissection. The tissue samples obtained from an 18-gauge needle had not only cancer tissue, but also environmental connective tissue in this series. Although multidisciplinary approaches such as chemotherapy and chemoradiotherapy are applicable for treatment of locally advanced unresectable pancreatic cancer, it is still controversial that which is better for the initial therapy [32, 33]. This technique may contribute to genetic analyses that will help determine the best treatment options, such as the selection of an antitumor reagent, and evaluations of the radiosensitivity of pancreatic cancer in the future. It is also important that we need to set the criterion for selection of the patients who receive the profit of this technique in control of cancer.

Because CNB requires a larger cutting needle than FNAB, a higher risk of complications such as bleeding can be hypothesized. In our study, although there was no bleeding complication, we observed one case of a pancreatic fistula as a postoperative complication. This complication was believed to have been caused by the use of a 16-gauge needle when this procedure was performed in the early stage. Thereafter, we had no complications with the use of an 18-gauge needle. It is also a concern that needle puncture may cause dissemination. Micames *et al.* reported that peritoneal carcinomatosis may occur more frequently in patients who undergo percutaneous FNA than in those who undergo EUS-FNA for the diagnosis of pancreatic cancer [16]. The occurrence rate of peritoneal dissemination was equivalent in both groups in this series. As the number of cases was not large enough, we limited performing CNB to unresectable cases. It is necessary to verify the long-term results of the risk of dissemination in a larger number of cases.

The major limitation of this study was the small number of the patients and the lack of randomization in patient selection. In this study, there were no significantly different characteristics between the LUS-guided CNB group and the EUS-FNA group, except for mean tumor size. As CNB required a tumor thickness >2 cm to avoid dorsal tissue injury, smaller sized tumors were included in the EUS-FNA group. Moreover, it was difficult to perform a percutaneous puncture of a tumor located in the uncus of the pancreas head because of the presence of the superior mesenteric vein on the ventral side of the tumor. The significance of LUS-guided CNB for pancreatic cancer should be evaluated through a prospective study in a larger number of cases.

## CONCLUSION

In conclusion, LUS-guided CNB is a technically feasible and safe procedure that can be easily applied following staging laparoscopy for pancreatic cancer. The sufficient amount of tissue obtained by this technique makes it possible to achieve high diagnostic accuracy. The clinical impact may be conveyed in terms of the determination of treatment strategies for advanced pancreatic cancer by tissue extraction using the LUS-guided CNB technique.

## Conflict of Interest

There are no conflicts of interest to declare.

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